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Office of Administrative Hearings Case No. 09A-11382-MDX; Arizona Medical Board Case No. MD-07-0923A

CONSENT AGREEMENT FOR LETTER OF REPRIMAND AND PROBATION

Office of Administrative Heading

DAVID A. RUBEN, M.D.

In the Matter of

Holder of License No. 11382
For the Practice of Allopathic Medicine
In the State of Arizona.

CONSENT AGREEMENT

By mutual agreement and understanding, between the Arizona Medical Board ("Board") and David A. Ruben, M.D. ("Respondent"), the parties agree to the following disposition of this matter,

- Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Consent Agreement").
 Respondent acknowledges that he has the right to consult with legal counsel regarding this matter.
- 2. By entering into this Consent Agreement, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal count on the matters alleged, or to challenge this Consent Agreement in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Consent Agreement.
- This Consent Agreement is not effective until approved by the Board and signed by its Executive Director.
- 4. The Board may adopt this Consent Agreement or any part thereof. This Consent Agreement, or any part thereof, may be considered in any future disciplinary action against Respondent.

- 5. This Consent Agreement does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding. The acceptance of this Consent Agreement does not preclude any other agency, subdivision or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 6. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.
- 7. Upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke the acceptance of the Consent Agreement. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- 8. If the Board does not adopt this Consent Agreement, Respondent will not assert as a defense that the Board's consideration of this Consent Agreement constitutes bias, prejudice, prejudgment or other similar defense; likewise, the Board will not use this document as an admission or otherwise against Respondent.
- 9. This Consent Agreement, once approved and signed, is a public record that will be publicly disseminated as a formal action of the Board and will be reported to the National Practitioner Data Bank and to the Arizona Medical Board's website.

10. If any part of the Consent Agreement is later declared void or otherwise unenforceable, the remainder of the Consent Agreement in its entirety shall remain in force and effect.

11. Any violation of this Consent Agreement constitutes unprofessional conduct and may result in disciplinary action. A.R.S. § § 32-1401(27)(r) ("[v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under this chapter") and 32-1451.

12. Respondent has read and understands the conditions of probation.

DAVID A. RUBEN, M.D.

DATED: 3-201

APPROVED AS TO FORM

ROBERT WOLKIN, ESQ.

DATED

FINDINGS OF FACT

- The Board is the duly constituted authority for the regulation and control of the practice of aliopathic medicine in the State of Arizona.
- Respondent is the holder of license number 11382 for the practice of allopathic medicine in the State of Arizona.
- The Board initiated case number MD-07-0923A after receiving a complaint regarding Respondent's care and treatment of a thirty-four year-old female patient ("DK").
- 4. On November 17, 2006, DK first presented to Respondent through self referral complaining of lower back pain and psychiatric Issues. DK reported her current pain management medications as OxyContin, Oxycodone, Vallum and Paxil. DK also reported having imaging studies and x-rays done three years prior to her visit. Although Respondent requested at this first meeting and four times subsequently that DK provide him with her medical records and film, she did not comply until December. 2007. At this first visit, Respondent prescribed OxyContin and Vallum at the reported doses and increased the Oxycodone dosage from the reported dosage. Subsequently, Respondent prescribed medications on a monthly basis and in December 2006, he added Wellbutrin for increasing depression. Respondent did not obtain urine drug tests to monitor compliance before June 2008, or order additional testing to identify the source of DK's pain.
- On August 29, 2007, Respondent provided DK with early refills of OxyContinand Oxycodone, although he decreased the Oxycodone dosage.
- 6. On October 19, 2007, Respondent saw DK and a family member, who both insisted that DK was compliant with her treatment. Respondent then wrote DK her usual opicid prescriptions. However, later that day, Respondent received written documentation from another patient that DK was recently discharged from the care of another physician.

for violating a pain agreement. Respondent subsequently took appropriate measures in an attempt to prevent DK from filling the prescription he had written earlier that day.

- 7. Respondent later learned from the other provider that DK had tested positive for cocaine and Methadone (which was not prescribed to her). Respondent referred DK to Behavioral Health for substance abuse issues, but he continued to prescribe opiates to DK for her back pain. Further, Respondent continued to prescribe opiates to DK after he tearned that she had successfully completed inpattent opioid detoxification.
- 8. The standard of care requires a physician to base new or continuing high dose opioid prescriptions for a self-referred, chronic pain management patient (who reports currently being prescribed high dose opioid medications) on proper indications, including previous medical records and verified previous prescriptions, and/or contact with the previous prescribing physician.
- Respondent deviated from the standard of care by prescribing high dose opioids to DK without proper indications.
- 10. The standard of care when treating a chronic pain patient who has a known or suspected substance abuse problem is to utilize objective measures to monitor compliance.
- 11. Respondent deviated from the standard of care by failing to timely use objective measures, such as urine drug tests, to assess DK's compliance with her treatment even after he was aware of her cocaine addiction.
 - 12. As a result of Respondent's conduct, DK might have suffered an accidental overdose resulting in respiratory depression, aspiration, brain damage, or death. In addition, Respondent's inappropriate prescribing might have been perpetuated DK's aberrant drug seeking and addiction.

CONCLUSIONS OF LAW

- The Board possesses jurisdiction over the subject matter hereof and over Rescondent.
- 2. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401(27)(q) ("[a]ny conduct or practice that is or might be harmful or dangerous to the health of the patient or the public).

ORDER

IT IS HEREBY ORDERED THAT:

- 1. Respondent is issued a Letter of Reprimand.
- Respondent is placed on probation for one year with the following terms and conditions:

a. Continuing Medical Education

Within one year of the effective date of this Order, Respondent shall obtain 15-20 hours of Board Staff pre-approved, Category 1 Continuing Medical Education ("CME") in pain management. Respondent shall provide Staff with proof of attendance. This CME shall be in addition to the mandatory CME required of all physicians for the blennial medical Brease renewal.

b. Chart Reviews

Board Staff or its agents shall conduct periodic chart reviews. Based upon the chart review, the Board may retain jurisdiction to take additional disciplinary or remedial action.

c. Fees

Respondent agrees to pay the administrative costs to the Board relating to filing for hearing at the Office of Administrative Hearings not to exceed \$1,000.

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d. Obey All Laws

Respondent shall obey all state, federal and local laws, all rules governing the practice of medicine in Arizona, and remain in full compliance with any court ordered criminal probation, payments and other orders.

e. Tolling

In the event Respondent should leave Arizona to reside or practice outside the State or for any reason should Respondent stop practicing medicine in Arizona. Respondent shall notify the Executive Director in writing within ten days of departure and return or the dates of non-practice within Arizona. Non-practice is defined as any period of time exceeding thirty days during which Respondent is not engaging in the practice of medicine. Periods of temporary or permanent residence or practice outside Arizona or of non-practice within Arizona, will not apply to the reduction of the probationary period.

 This Order is the final disposition of case number MD-07-0923A and Office of Administrative Hearings case #09A-11382-MDX.

1	ORIGINAL of the foregoing filed this Let day of April , 2009 with:
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3	Arizona Medical Board 9545 E. Doubletree Ranch Road
4	Scottsdale, AZ 85258
5	EXECUTED COPY of the foregoing mailed this f ^{3r} day of <u>April</u> , 2009 to:
6	Robert Wolkin, Esq.
7	3301 East Camino Campestre Tucson, Arizona 85716-5829
8	
9	EXECUTED COPY of the foregoing mailed this 1st day of April 2009 to:
10	David A. Ruben, M.D.
11	Address of Record
12,	Krush Vas
13	Investigational Beview
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